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August 11, 2004

From: Heather M. Bailey for Curtis A. Vock

Matter No.: 392500

To:	Company:	Fax Number:	Phone Number:
Examiner Quang T. Van	United States Patent and Trademark Office	(703) 872-9306	(703) 306-9162

Number of Pages Transmitted (including this cover sheet): 7

Message:

In re application of: Victor F. Petrenko  
Application No.: 09/970,555  
Filed: October 4, 2001

Group No.: 3742  
Examiner: Van, Quang T.

For: **PREVENTION OF ICE FORMATION BY APPLYING ELECTRIC POWER TO A LIQUID WATER LAYER**

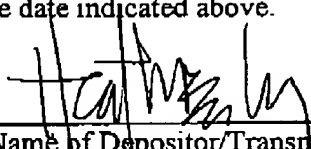
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>CERTIFICATE OF TRANSMISSION (37 CFR 1.8)</b>			Atty Docket No.
Applicant(s): Victor F. Petrenko			392500
Application No.	Filing Date	Examiner	Group Art Unit
09/970,555	October 4, 2001	Van, Quang T.	3742
<b>Title:</b> <b>PREVENTION OF ICE FORMATION BY APPLYING ELECTRIC POWER TO A LIQUID WATER LAYER</b>			
<p>Date of Transmittal: <u>August 11, 2004</u></p> <p>I hereby certify that the following documents:</p> <ol style="list-style-type: none"><li>1. Request for Continued Examination (RCE) Transmittal (1 page)</li><li>2. Petition for Two Month Extension of Time in duplicate for fee processing (2 page)</li><li>3. Fee Transmittal in duplicate for fee processing (2 pages)</li><li>4. this Certificate of Transmission (1 page);</li><li>5. Facsimile cover sheet (1 page)</li></ol> <p>are being transmitted via facsimile number 703-872-9306 to TC 3742, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313- 1450, on the date indicated above.</p> <p> Name of Depositor/Transmitter Heather Bailey</p> <p>Signature of Depositor/Transmitter</p>			

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PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0851-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1190

## Complete if Known

Application Number 09/070,555  
 Filing Date October 4, 2001  
 First Named Inventor Victor F. Petrenko  
 Examiner Name Van, Quang T.  
 Art Unit 3742  
 Attorney Docket No. 392500

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
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## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 20 \*\* = 0 X 0 = 0  
 Independent Claims 3 \*\* = 0 X 0 = 0  
 Multiple Dependent X 0 = 0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	43	Independent claims in excess of 3	
1203	280	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	420
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to Institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (a)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1808	770	2808	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	770
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1190

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Curtis A. Vock Registration No. (Attorney/Agent) 38,358 Telephone (720) 931-3011  
 Signature Date 8-11-04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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